If you think compliance is expensive, try non-compliance

Former U.S. Deputy Attorney General Paul McNulty
Created in 2013

Board of Trustees Certification 59 (2012-2013) amending Certification 81 (2007-2008)

Part of the Corrective Action Plan approved by the National Science Foundation
ORCI COMPOSITION

Carlos Rodriguez Rivera (Director)
- Expert in information systems and data mining
- Certified in Grants Management
- Lean & Six Sigma Green Belt

Marcos O Perez Beauchamp (Compliance Specialist)
- Development and implementation of educational tools
- Procurement and property management specialist

Yoana Lopez (Administrative Assistant)
- Grants administration
- Proposal development & performance
Assist the research and creative community in achieving and maintaining ethical principles of high rigor, as well as ensuring full compliance with federal, state and institutional regulations by promulgating a culture of excellence and responsibility in research.
ORCI OBJECTIVES

- Establish, advise and coordinate the implementation of institutional policies and procedures
- Provide education and extensive training
- Conduct continuous compliance assessments
- Require and monitor corrective action plans when necessary.
ORCI ACTIVE KEY POINTS

- UPR’s travel policy review & recommendations for further update
- Implementation and continuing update of online training module
- Lead the analysis and recommendations of a grant management electronic tools in coordination with Finance, External Funds and Information System offices
- Identification of UPR’s units necessities
MOST COMMON COMPLIANCE ISSUES

- Property Management - Timing of equipment registration on fixed asset module
- Salaries - Timing and correctness
- Subrecipient Risk Management - Lack of documentation
- Procurement - Grant purchases close to grant performance period end
- Review of proposals and proposals budget
- On-Site assessments
- External visits/reviews coaching
- Allowability determinations
- Kick-off meetings
- Training
- Address any question or concern related to federal grants compliance
“Quality means doing it right when no one is looking”

Henry Ford
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